

02/05/02  
JCS  
U.S. PTO

ATTORNEY'S DOCKET NO.:  
020431.1021

PATENT

Page 1

Express Mail No.: EL 926776034 US

PATENT APPLICATION

Attorney Docket No.: 020431.1021

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 C.F.R. § 1.53(b)

J1002 U.S. PTO  
10/072399  
02/05/02

Box: Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Inventor or Application Identifier:

**Ramkartik (nmi) Mulukutla, et al.**

Transmitted herewith for filing is the patent application entitled:

**Sorted-Paged Retrieval of Hierarchical Data from Relational Databases**

Enclosed are:

- ☒ Specification, Claims and Abstract (39 Total Pages)  
☒ Drawing(s) (1 Total Sheet(s) of ☒ Formal ☐ Informal)  
☒ Combined Declaration and Power of Attorney (3 Total Pages)  
☒ Newly executed (original or copy)
- ☒ An Assignment of the invention to i2 Technologies US, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h) (3 Total Pages)
- ☒ Certificate of Mailing (1 page)
- ☒ Return Receipt Postcard
- ☐ Information Disclosure Statement (IDS) with PTO-1449  
☐ Copies of IDS Citations.
- ☒ Non-Publication Request Under 35 U.S.C. § 122(b)(2)(B)(i) (1 page);
- ☐ Other

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Applicant is:

☒ Large Entity  
☐ Small Entity

The accompanying application is:

☒ Original  
☐ Continuation ☐ Divisional ☐ Continuation-In-Part  
☐ (CIP) of prior application No. \_\_\_\_\_ which is hereby incorporated by  
reference therein.

FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	Basic Fee \$740.00
Total Claims:	35	-20 =	15	X \$18.00	270.00
Independent Claims	4	- 3 =	1	X \$84.00	84.00
TOTAL FILING FEE =					\$1,094.00

☒ Enclosed is a check in the amount of \$1,094.00 for fees.

☒ Please charge any additional fees required by this paper to Deposit Account  
No. 02-0384 of BAKER BOTTS L.L.P. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly  
Reg. No. 40,675  
Attorneys for Applicants

Dated: February 5, 2002

Correspondence Address:

☒ Customer Number or Bar Code Label



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JC928 U.S.  
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ATTORNEY'S DOCKET NO.:  
020431.1021

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ramkartik (nmi) Mulukutla, et al.  
Filing Date: February 5, 2002  
Title: SORTED-PAGED RETRIEVAL OF HIERARCHICAL  
DATA FROM RELATIONAL DATABASES

**BOX PATENT APPLICATION**

Assistant Commissioner  
for Patents  
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Fee Transmittal Sheet, Declaration and Power of Attorney, Assignment and Assignment Cover Sheet, 1 sheet of Formal Drawings, Nonpublication Request, and this, Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 5th day of February, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Jiles  
Willie Jiles

Express Mail Receipt  
No. EL 926776034 US  
Attorney's Docket No.:  
020431.1021

ATTORNEY DOCKET:  
020431.1021

PATENT APPLICATION

1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ramkartik (nmi) Mulukutla, et al.  
Filing Date: February 5, 2002  
Title: SORTED-PAGED RETRIEVAL OF HIERARCHICAL DATA  
FROM RELATIONAL DATABASES

**BOX: Patent Application**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**NON-PUBLICATION REQUEST**

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



Christopher W. Kennerly  
Reg. No. 40,675

Please send all correspondence to:

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(214) 953-6812

Date: February 5, 2002